

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Gerald R. Black

Application No./Patent No.: 6,970,583

Filed/Issue Date: November 29, 2005

Entitled: IDENTITY AUTHENTICATION DEVICE

Pen-One, Inc. _____, a corporation

(Name of Assignee) _____ (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 023220, Frame 0541, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

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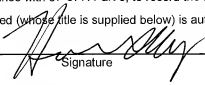
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature

November 5, 2009

Date

Howard A. MacCord, Jr.

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Printed or Typed Name

Telephone Number

Attorney for Assignee

Title



United States Patent and Trademark Office

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Patent Assignment Abstract of Title

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Total Assignments: 2

Patent #: 6970583

Issue Dt: 11/29/2005

Application #: 09865756

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Publication #: 20010055411

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Inventor: Gerald R. Black

Title: IDENTITY AUTHENTICATION DEVICE

Assignment: 1

Reel/Frame: 018398/0006

Recorded: 10/10/2006

Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: BLACK, GERALD R.

Exec Dt: 10/05/2006

Assignee: PEN ONE, INC.

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Assignment: 2

Reel/Frame: 023220/0541

Recorded: 09/11/2009

Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: BLACK, GERALD R.

Exec Dt: 09/08/2009

Assignee: PEN-ONE INC.

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